



**BUBBLE CPAP & NON-INVASIVE
RESPIRATORY MANAGEMENT OF THE NEWBORN**
Conference & Workshop

LIVE/VIRTUAL MEETING

DECEMBER 3 - 4, 2022



Extubation failure in ELBW infants: Art, Gamble or Science?



L'Hôpital de Montréal pour enfants
The Montreal Children's Hospital

Centre universitaire de santé McGill
McGill University Health Centre



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Professor of Pediatrics

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Disclosure

I have no financial relationships to disclose or Conflicts of Interest to resolve



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Automated Prediction of EXtubation Readiness in Extremely Preterm Infants: The APEX Multicenter Study

APEX investigators:

Wissam Shalish, Lara Kanbar, Charles Onu, Lajos Kovacs, MD, Sanjay Chawla, MD, Martin Keszler, MD, Smita Rao, Karen Brown, MD, Doina Precup, PhD, Robert E Kearney, PhD and Guilherme M Sant'Anna, MD, PhD



Centre universitaire de santé McGill
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Hôpital général juif
Jewish General Hospital

**Children's Hospital
of Michigan** 

DMC

DETROIT MEDICAL CENTER / WAYNE STATE UNIVERSITY



Women & Infants

Why extubation failure?

- Observation:
 - High % of extremely preterm infants are **mechanically ventilated**
 - **Extubation failure rates are quite high** and generate clinical frustration → **ELBW infants: 40-58%** compared to **Children: 6%** and **Adults: 10%**
 - Some previously stable infants **worsened after re-intubation**
- **Lack of robust science** to help on decisions related to extubation readiness



Walsh et al, J Peds 2005
Carlo W et al, NEJM 2010
Stoll B et al, JAMA 2015

Case

- Male baby born at 22:00pm with 24⁴ weeks with BW = 680 g. Complete antenatal steroids
- Tried on bubble CPAP in the DR but intubated at 2h of life for high O₂ needs (60%). Surfactant was administered and MV started
- Next day at rounds (~12h of life) – on VG ventilation:
 - PIP = 12 cmH₂O
 - PEEP = 5 cmH₂O
 - V_T = 5 ml/kg
 - Rate = 40 bpm
 - FiO₂ = 28%
 - MAP = 6-7 cmH₂O

Arterial blood gas:

pH = 7.33

PaCO₂ = 45

PaO₂ = 55

HCO₃⁻ = 20

BE = - 3.2

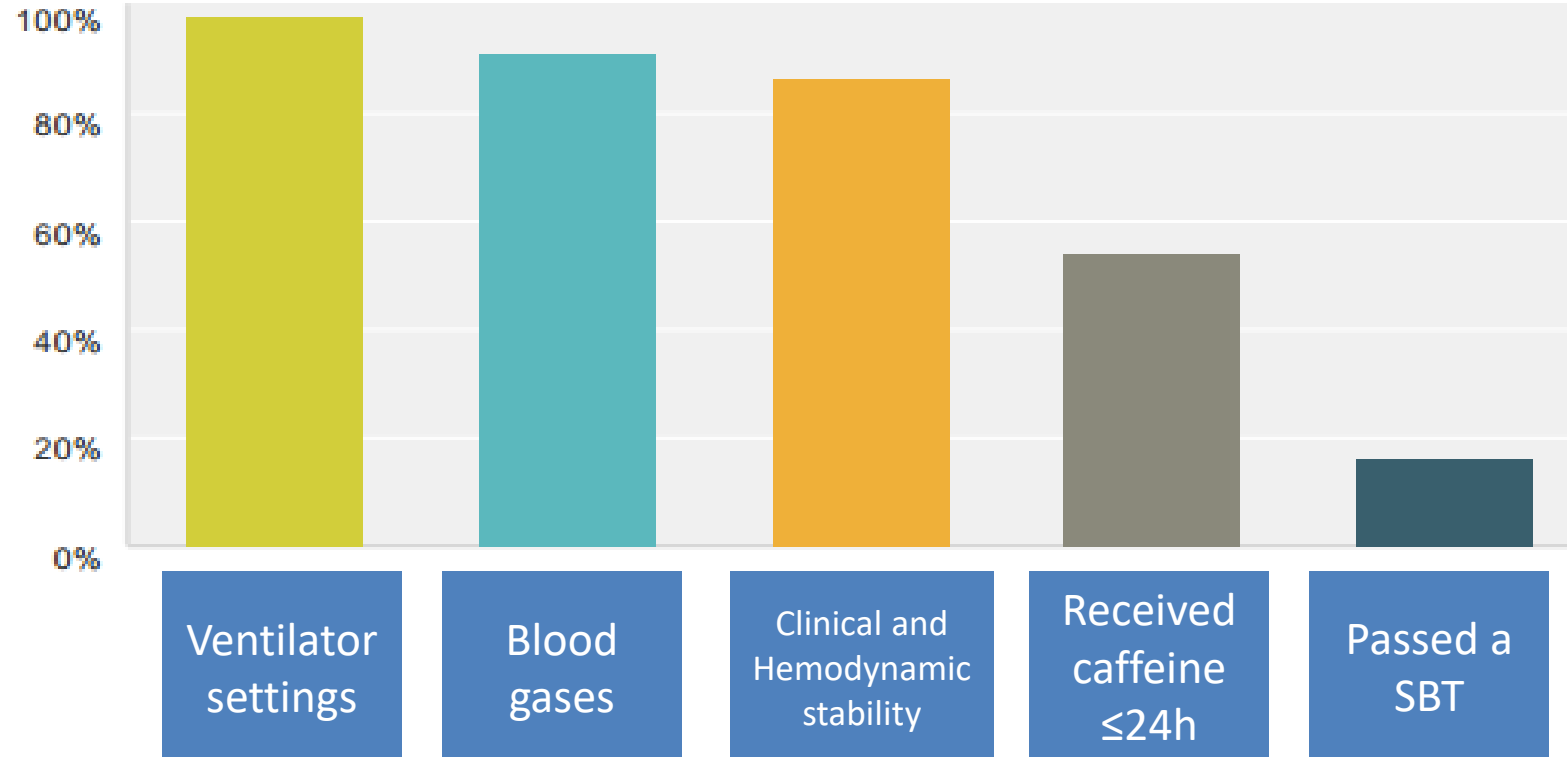
Questions

1. Should we extubate this baby? Based on what?
2. When? Is there any specific age that's better?
3. What are the chances of a successful extubation?
4. What is a successful extubation?
5. If the baby fail, is EXTUBATION FAILURE a problem?
6. If so, is there any way to decrease and/or predict FAILURE?

International survey on periextubation practices in extremely preterm infants

H Al-Mandari,¹ W Shalish,¹ E Dempsey,² M Keszler,³ P G Davis,⁴ G Sant'Anna⁵

In your unit, EPT infants are extubated based on what criteria?



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When do you usually extubate EPT infants in your unit?

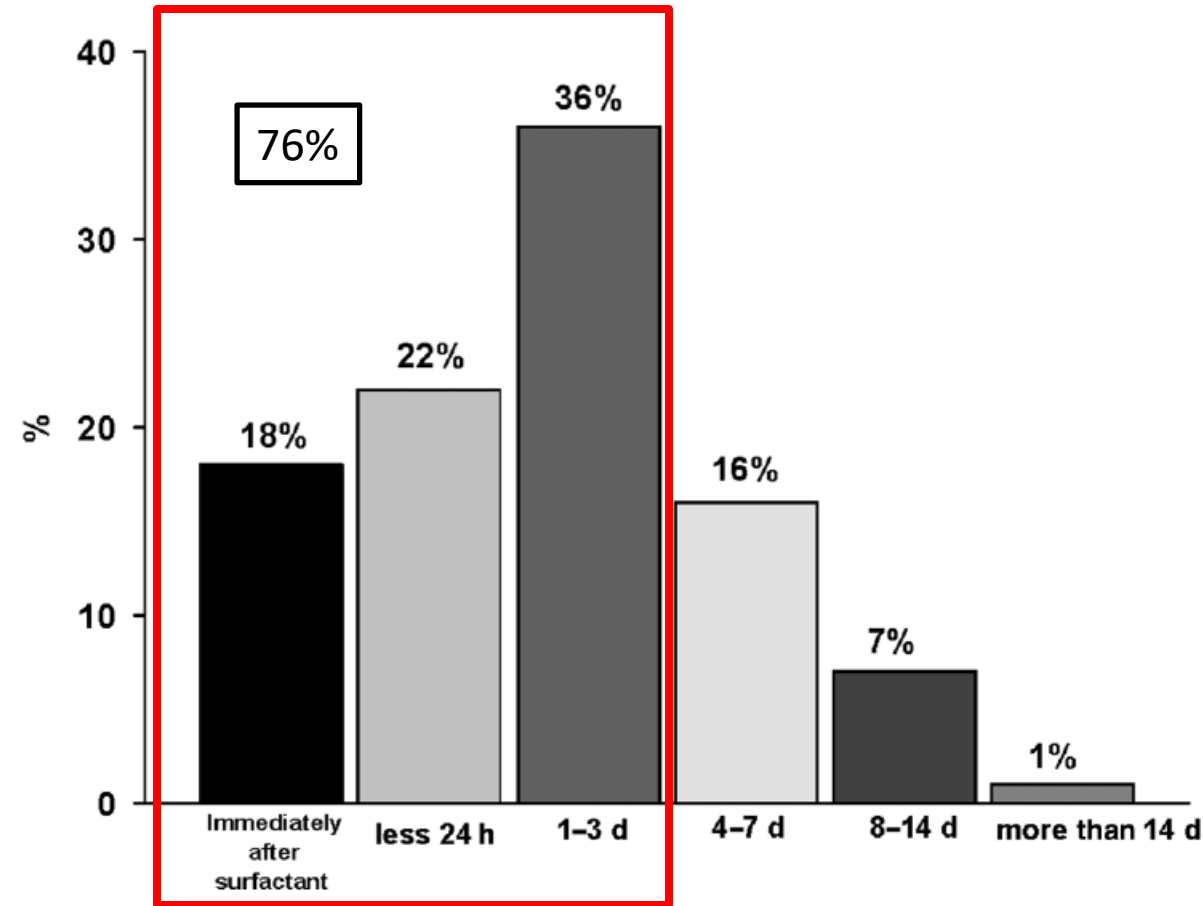


Figure 1 Age at first extubation attempt.

Age at first extubation

- Highly variable across the literature
 - Not always reported
- Median values → ranges from **2.5 to 36 days**

COIN trial (2008) = > 50% extubated by **day 3 of life**
NIPPV trial (2011) = median **3.5** (2-7) days of life
SUPPORT trial (2017) = median **2.5** (2-9) days of life

Age at first extubation attempt and death or respiratory morbidities in extremely preterm infants

Wissam Shalish, MD, PhD¹, Martin Keszler, MD², Lajos Kovacs, MD³, Sanjay Chawla, MD⁴, Samantha Latremouille, MSc¹, Marc Beltempo, MD, MSc¹, Robert E. Kearney, PhD⁵, and Guilherme M. Sant'Anna, MD, PhD¹

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When do you usually extubate EPT infants in your unit?

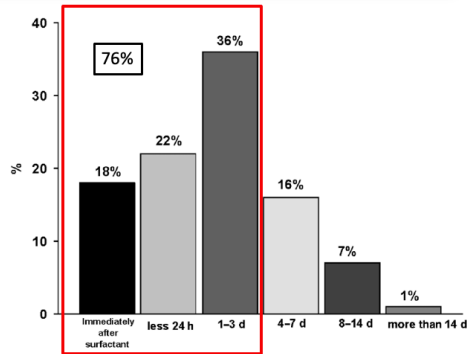
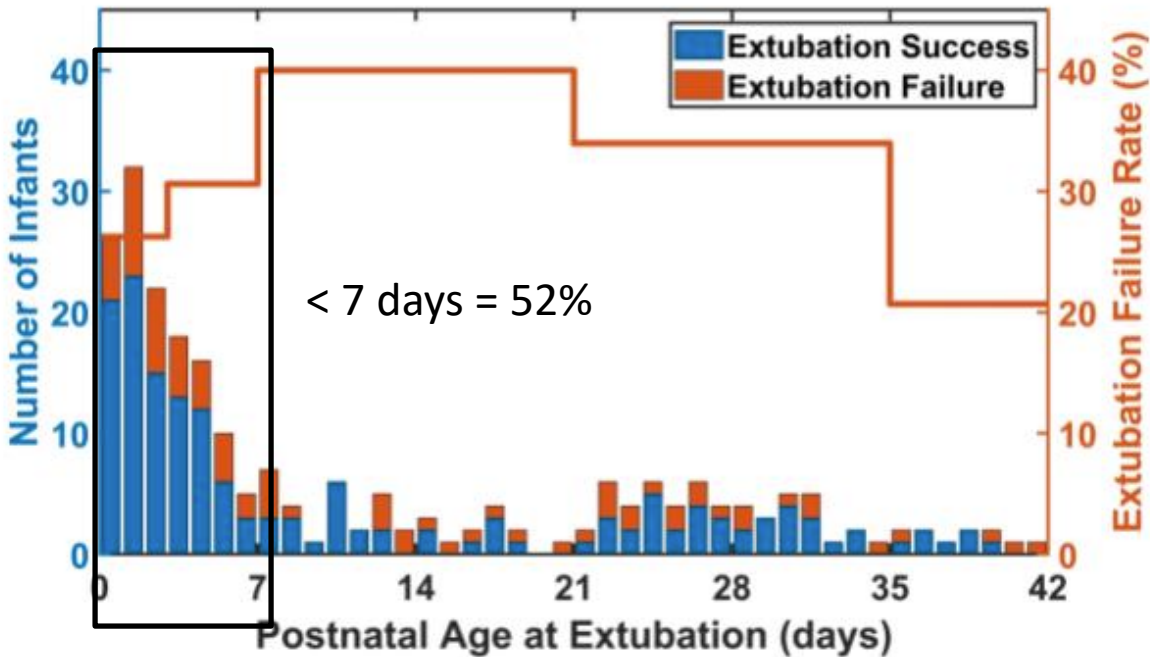


Figure 1 Age at first extubation attempt.

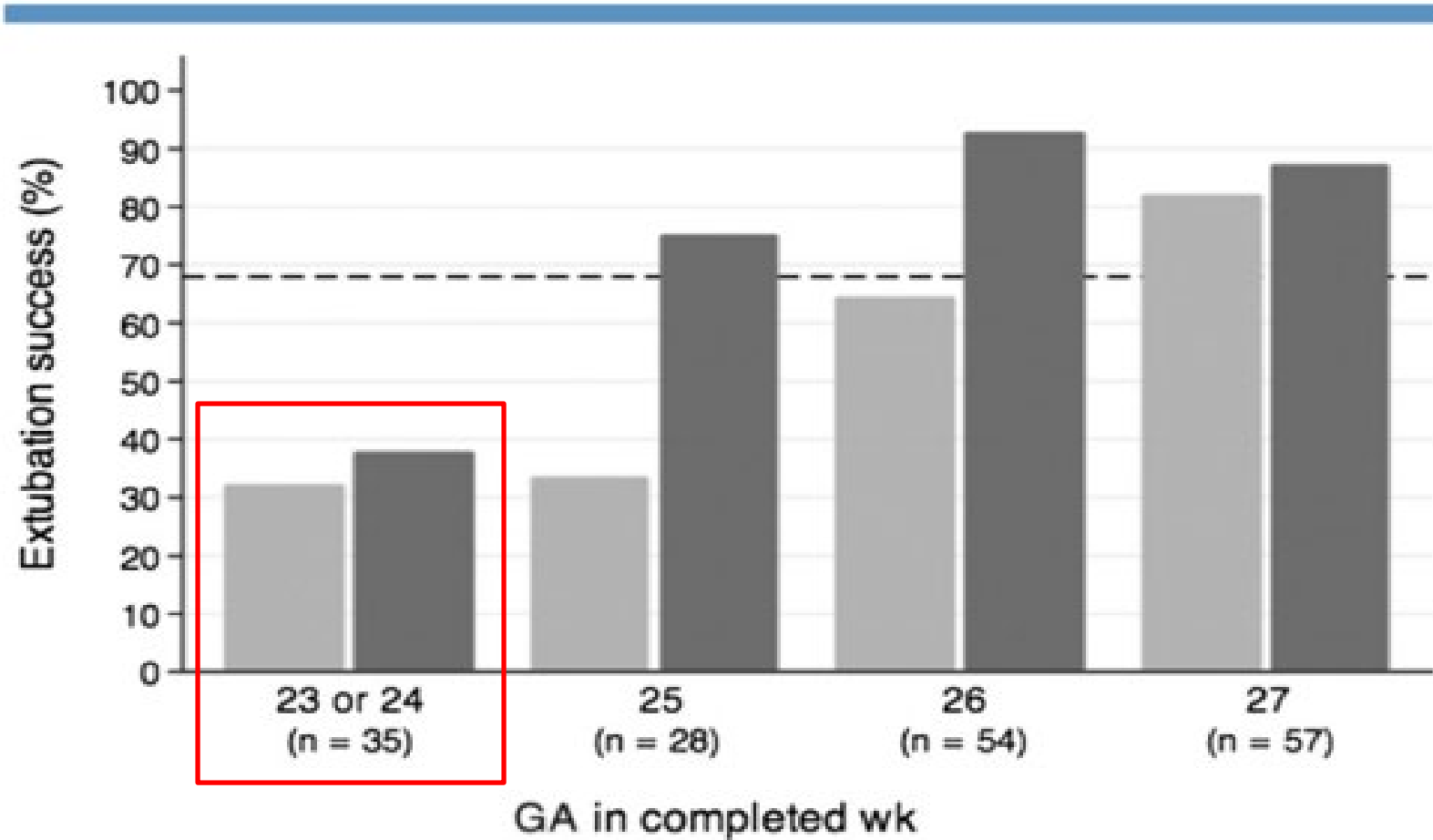


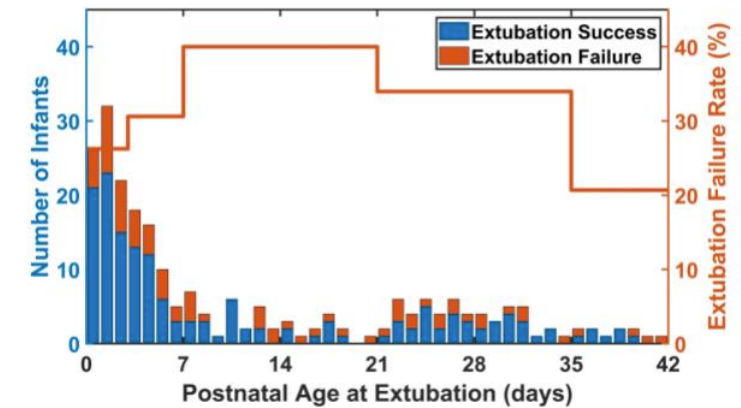
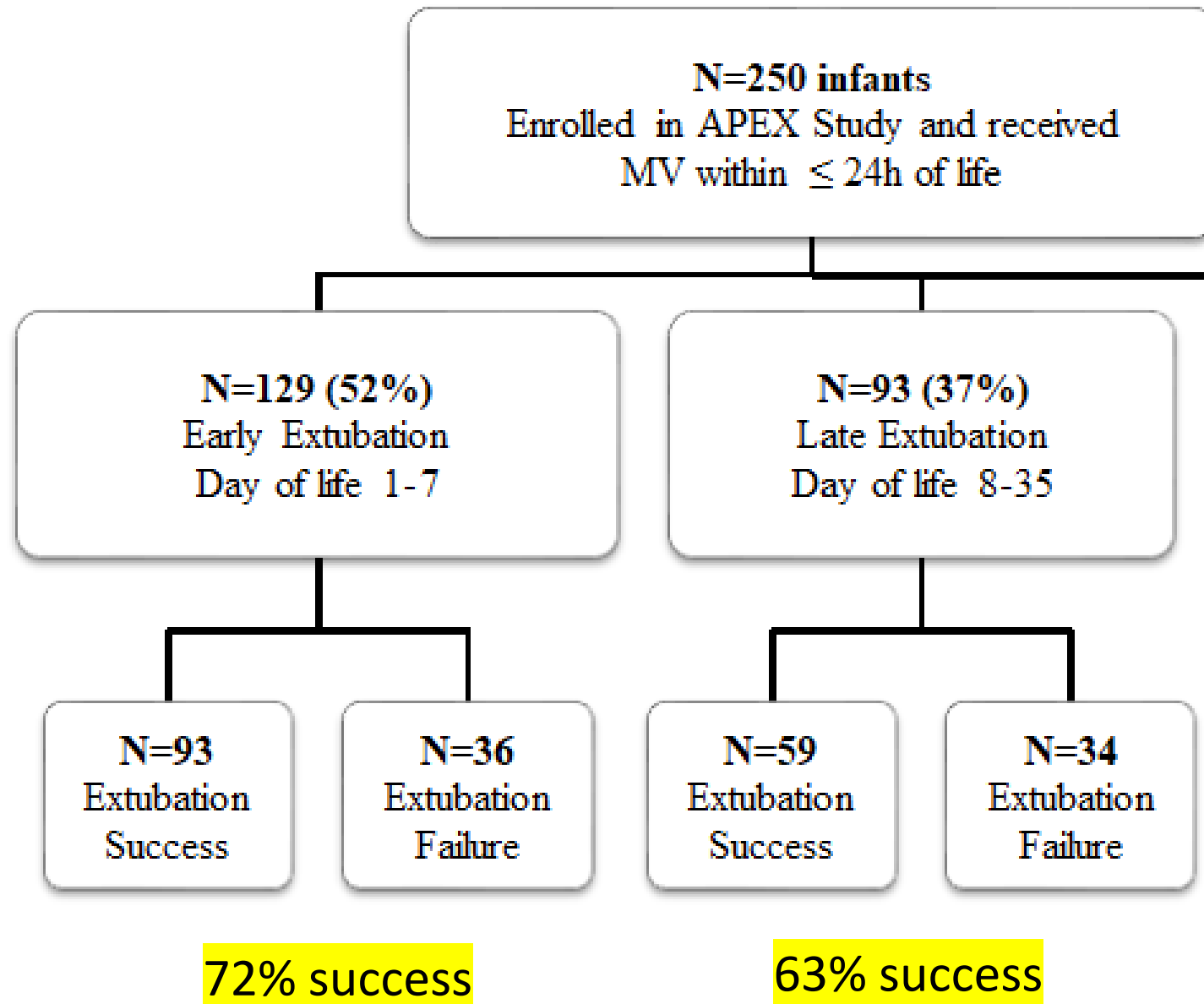
Legend: An additional 17 infants were extubated beyond 42 days of life. The probability of extubation failure (red line, Y2 axis) was computed for the postnatal age bins corresponding to days of life 1-3 (n=80), 4-7 (n=49), 8-21 (n=40), 22-35 (n=53) and > 35 days (n=28).

Questions

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Gestational Age





Legend: An additional 17 infants were extubated beyond 42 days of life. The probability of extubation failure (red line, Y2 axis) was computed for the postnatal age bins corresponding to days of life 1-3 (n=80), 4-7 (n=49), 8-21 (n=40), 22-35 (n=53) and > 35 days (n=28).

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Definition of Successful Extubation

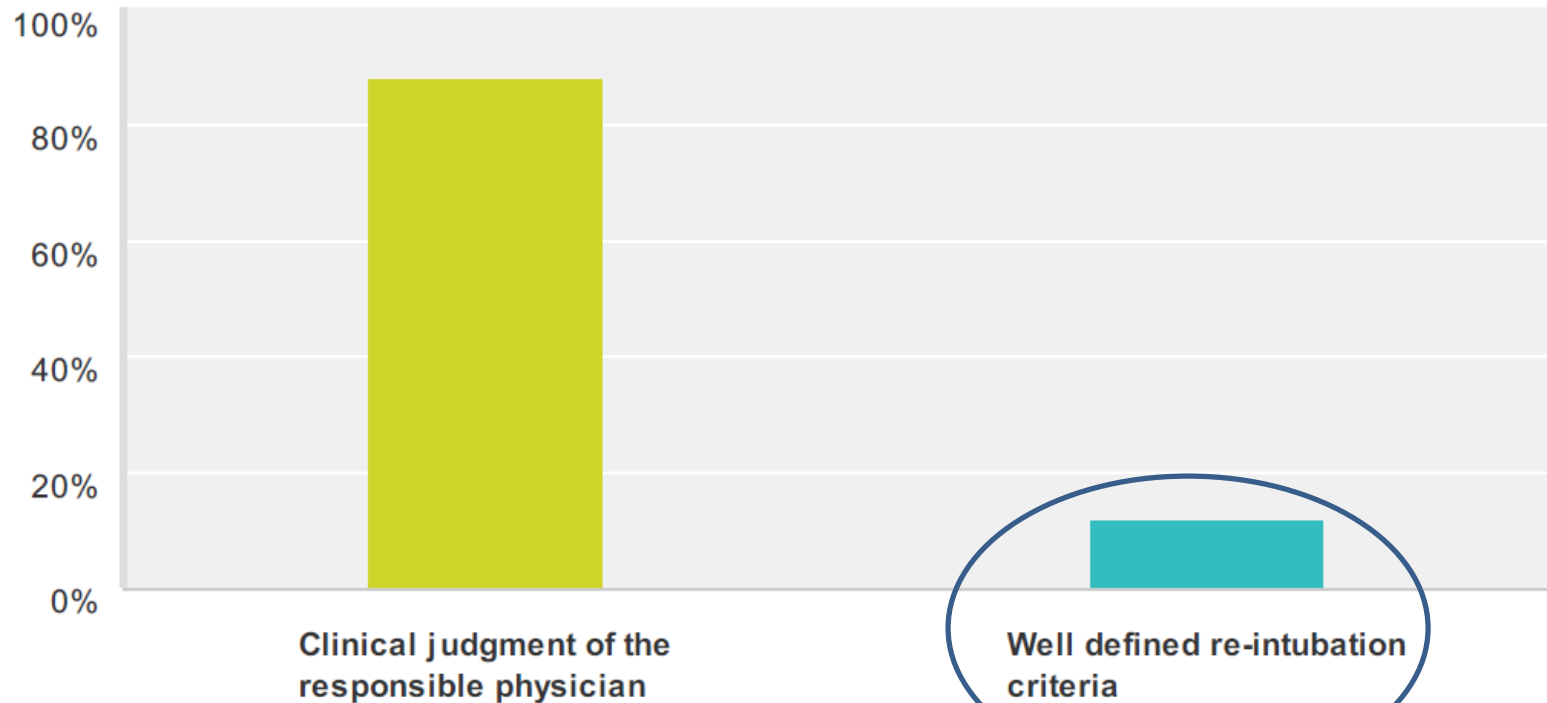
1. Criteria used to define failure
2. Observation window (between extubation → re-intubation) selected



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Criteria for extubation failure ?



Definition of CPAP or NIV failure

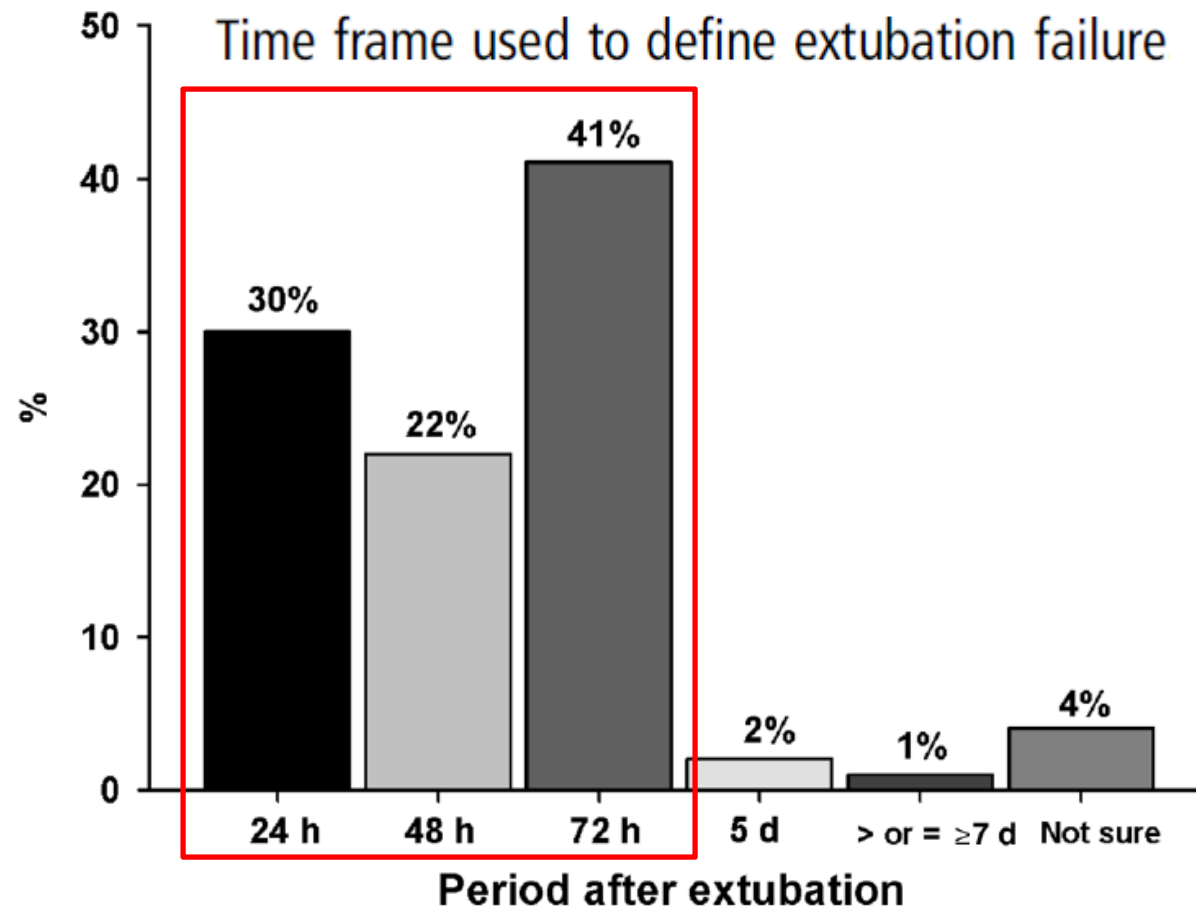
FiO ₂	Apnea	PCO ₂	Other	Initial CPAP	Max CPAP
30% to >75%	1 to > 4/h 1 to 2 episodes of bag & mask ventilation	60 to > 70 mmHg pH=7.20 to 7.22	Only 1 study included hemodynamic stability	≥ 4 to 8 cmH ₂ O	5 trials = not stated 7cmH ₂ O

Definition of Successful Extubation

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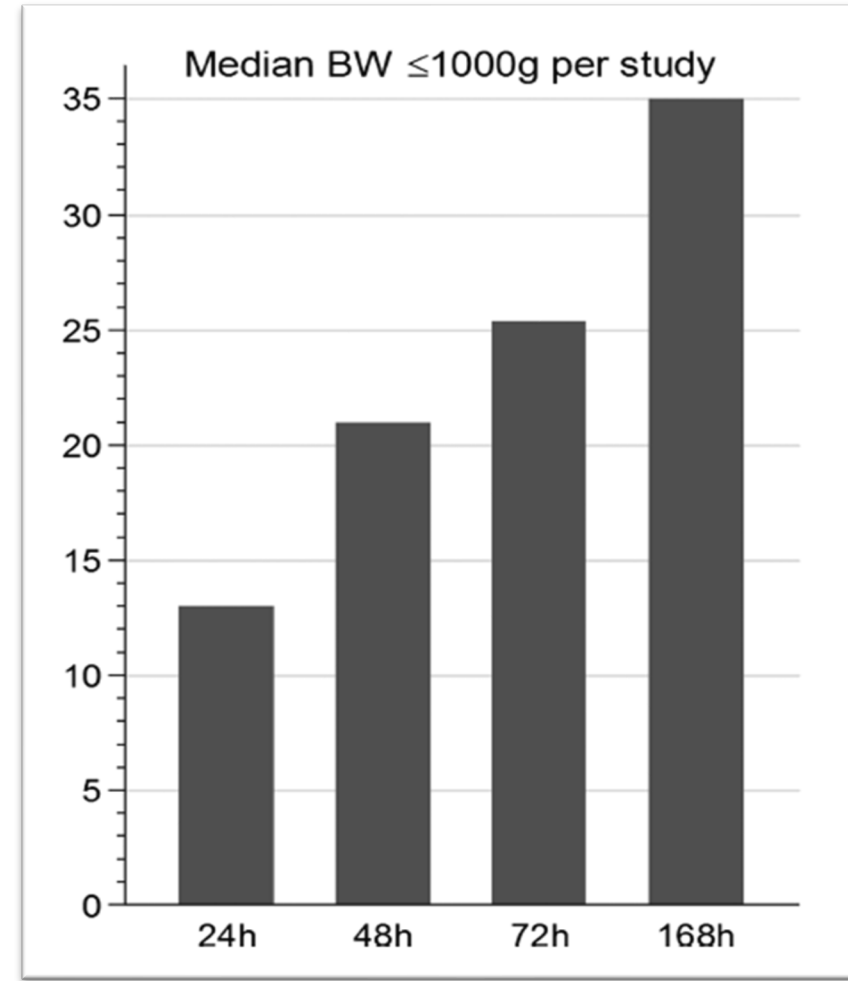
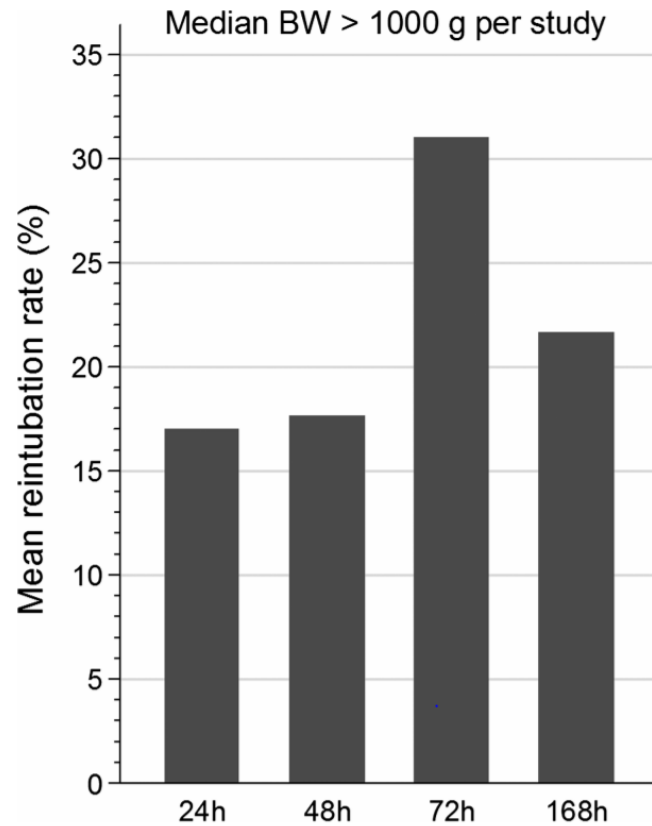
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Definitions of extubation success in very premature infants: a systematic review

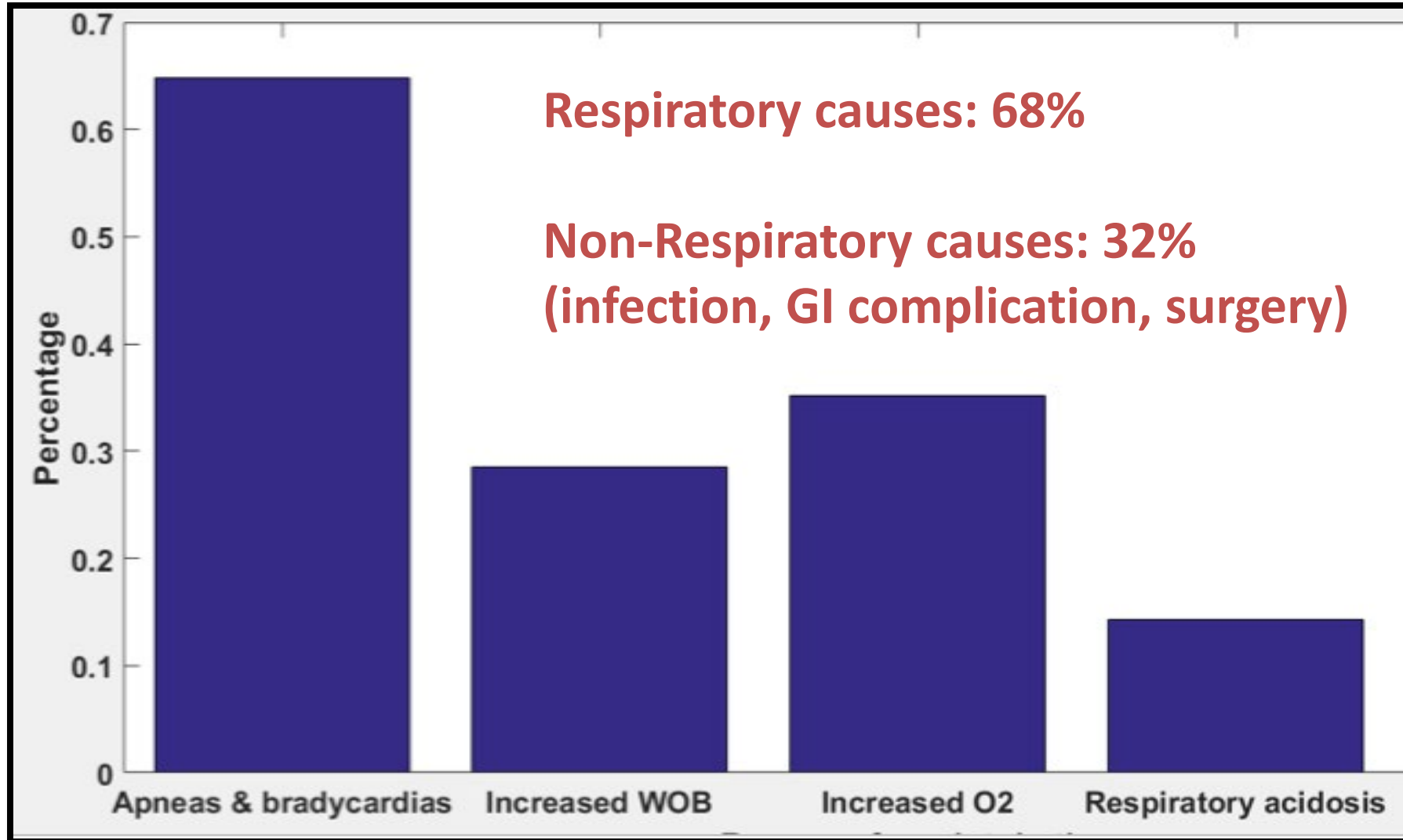
Annie Giaccone,¹ Erik Jensen,¹ Peter Davis,² Barbara Schmidt^{1,3}



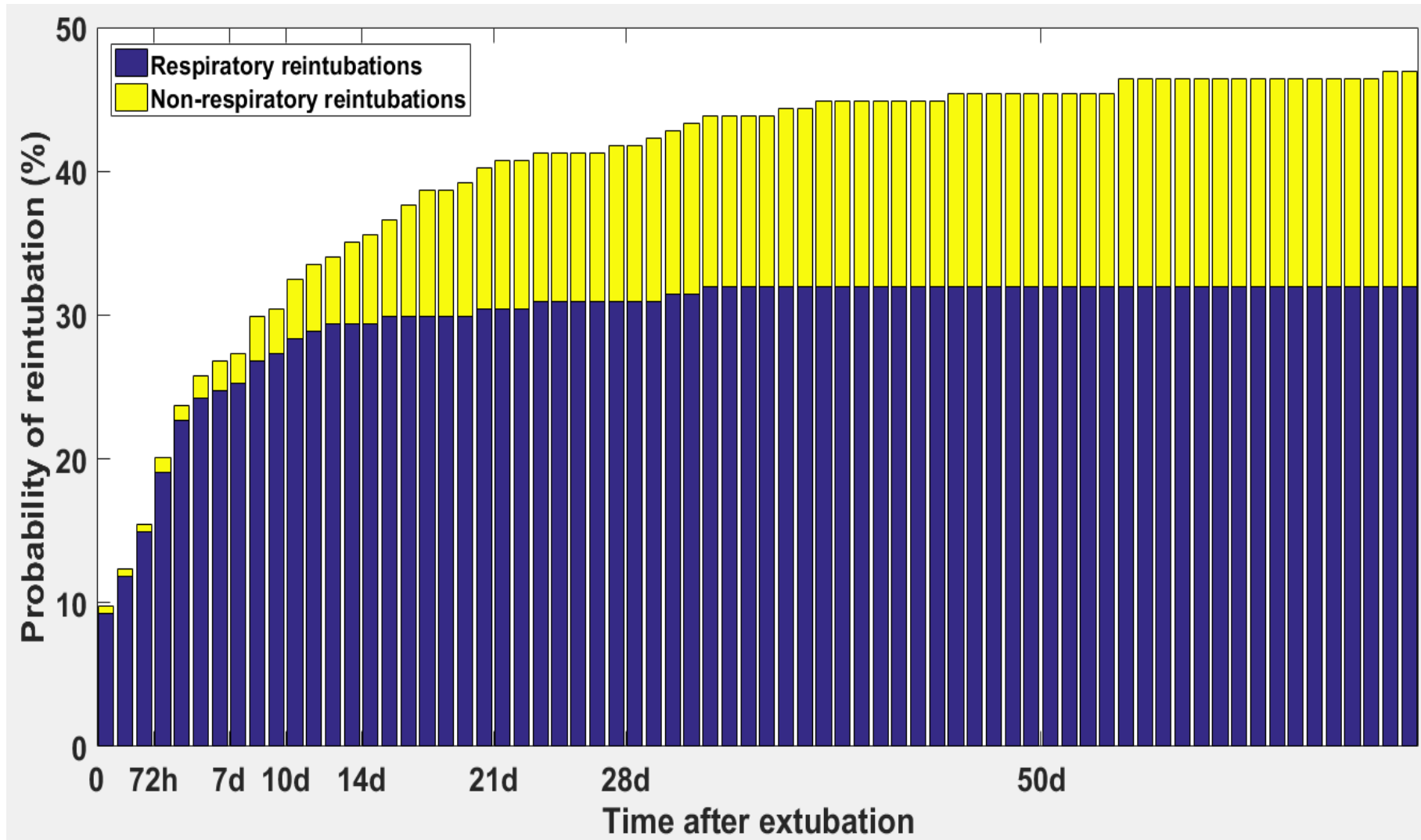
Choice of Observation Window

- Too short – may miss reintubations attributable to respiratory causes
- Too long – may capture reintubations caused by non-respiratory reasons
 - Infection
 - Necrotizing enterocolitis
 - Elective procedures

Respiratory Causes of Reintubation



Time frame - extubation failure



Time frame - extubation failure

- **Observation window of 7 days** captures:
 - ~ 85% of respiratory and < 15% of non-respiratory reintubations
- Any study testing the effects of different respiratory therapies should only include **failures related to respiratory reasons**

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Neonates and Extubation Failure

- The procedural aspect of **intubation** is associated with adverse outcomes, especially in the emergency setting
 - Changes in brain activity
 - Airway trauma
 - Hemodynamic instability
 - Death

Neonates and Extubation Failure

Secondary analyses from 2 large RCTs suggest that **extubation failure** is independently associated with:

- Death prior to discharge
- BPD among survivors
- Death or BPD
- Intraventricular hemorrhage grade 3 or 4
- Prolonged respiratory support
- Length of hospitalization

Reintubation – Morbidities/Mortality

1. What exactly increases the risk of morbidity?
 - The reintubation itself?
 - The resumption of mechanical ventilation?

2. Do all reintubations have the same clinical implications?

Effects of Multiple Ventilation Courses and Duration of Mechanical Ventilation on Respiratory Outcomes in Extremely Low-Birth-Weight Infants

Erik A. Jensen, MD; Sara B. DeMauro, MD, MSCE; Michael Kornhauser, MD; Zubair H. Aghai, MD; Jay S. Greenspan, MD; Kevin C. Dysart, MD

- 3343 infants with BW <1000g intubated and receiving MV
- Increased risk of BPD was associated with duration of MV



Effects of Multiple Ventilation Courses and Duration of Mechanical Ventilation on Respiratory Outcomes in Extremely Low-Birth-Weight Infants

Erik A. Jensen, MD; Sara B. DeMauro, MD, MSCE; Michael Kornhauser, MD; Zubair H. Aghai, MD; Jay S. Greenspan, MD; Kevin C. Dysart, MD

- 66% (n=2206) needed re-intubation and 60% (n=1323) of these required a total of ≥ 3 re-intubations
- Thus, 40% of total were re-intubated ≥ 3 times → **independently associated with BPD**



Reintubation and BPD

2 LIMITATIONS – did not account for:

Age at First
Extubation

Day of life at extubation



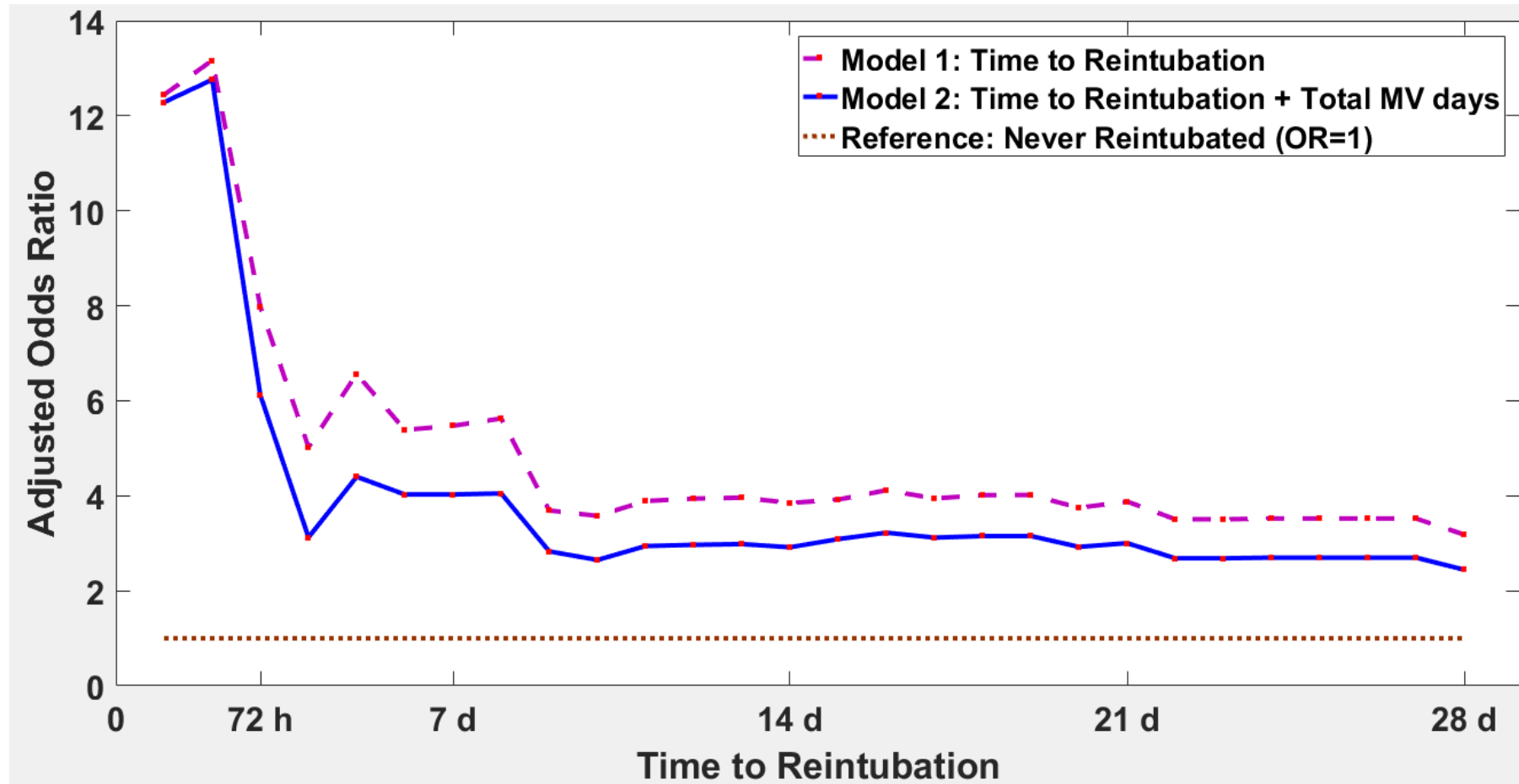
Time to
Reintubation

Extubation

Reintubation



Time to Reintubation and Death/BPD



Adjusted for BW, postnatal infection, postnatal steroids, NEC and site

Reintubation and Morbidities

SUMMARY

- Exposure to MV remains one of the most important risk factors for increased death/BPD
- But also need to be mindful:
 - Multiple (≥ 3) reintubations
 - Age at extubation
 - Reintubations within 48h after extubation

Questions

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Implementation of a Respiratory Therapist-Driven Protocol for Neonatal Ventilation: Impact on the Premature Population

Fernanda Hermeto, MD, Marcela Nosralla Bottino, MD, Kelly Vaillancourt, RRT, Guilherme Mendes Sant'Anna, MD, PhD, FRCPC

Department of Pediatrics, McMaster University, Hamilton, Ontario, Canada

Extubation:

BW \leq 1000g: MAP $<$ 7 cmH₂O and FiO₂ \leq 0.30

BW $>$ 1000g: MAP $<$ 8 cmH₂O and FiO₂ \leq 0.30

Re-intubation:

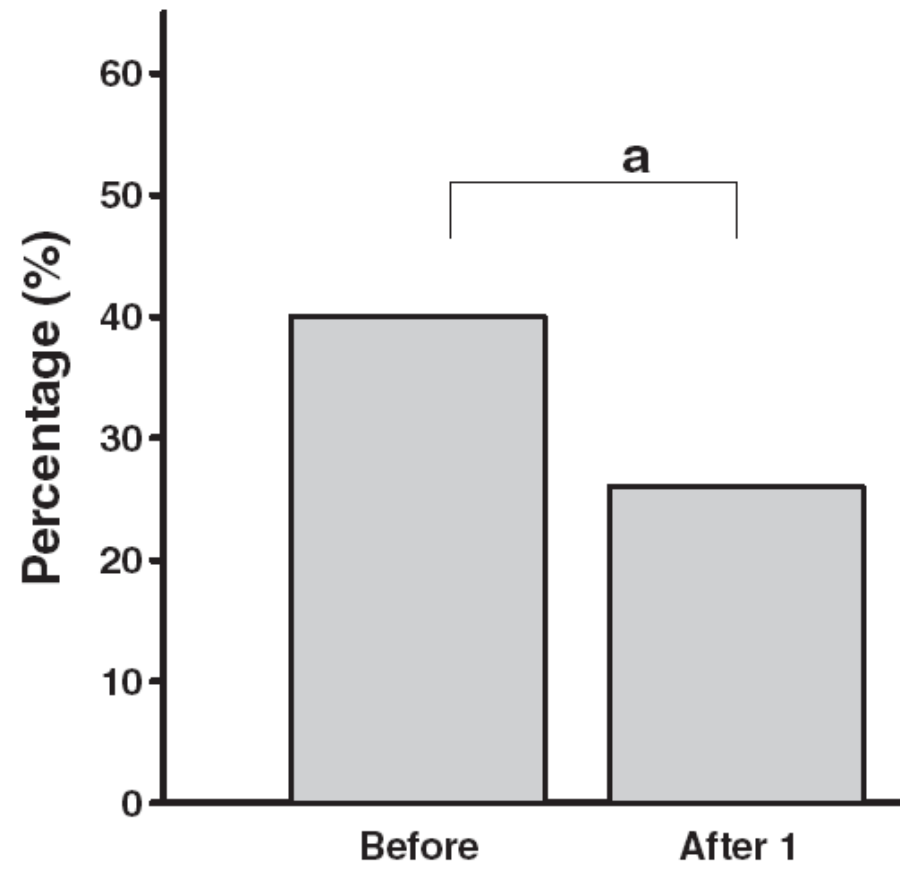
FiO₂ $>$ 0.6 to maintain SaO₂ $>$ 88% or PaO₂ $>$ 45 mmHg

PaCO₂ (arterial) $>$ 55 to 60 with a pH $<$ 7.25

Apnea requiring bag and mask ventilation

Evidence of increased WOB (retractions, grunting and chest wall distortion) + abnormal chest x-ray

Extubation failure rate



Interventions to Improve Rates of Successful Extubation in Preterm Infants

A Systematic Review and Meta-analysis

- Preterm infants should be extubated to noninvasive respiratory support
- Caffeine should be used routinely
- Postnatal corticosteroids should be used judiciously, weighing up the competing risks of BPD and neurodevelopmental harm



Solving the Extubation Equation: Successfully Weaning Infants Born Extremely Preterm from Mechanical Ventilation

“Two important issues remain for researchers and clinicians”:

1. Accurate tests of extubation readiness are required to enable clinicians to minimize the duration of MV while avoiding the risks of re-intubation



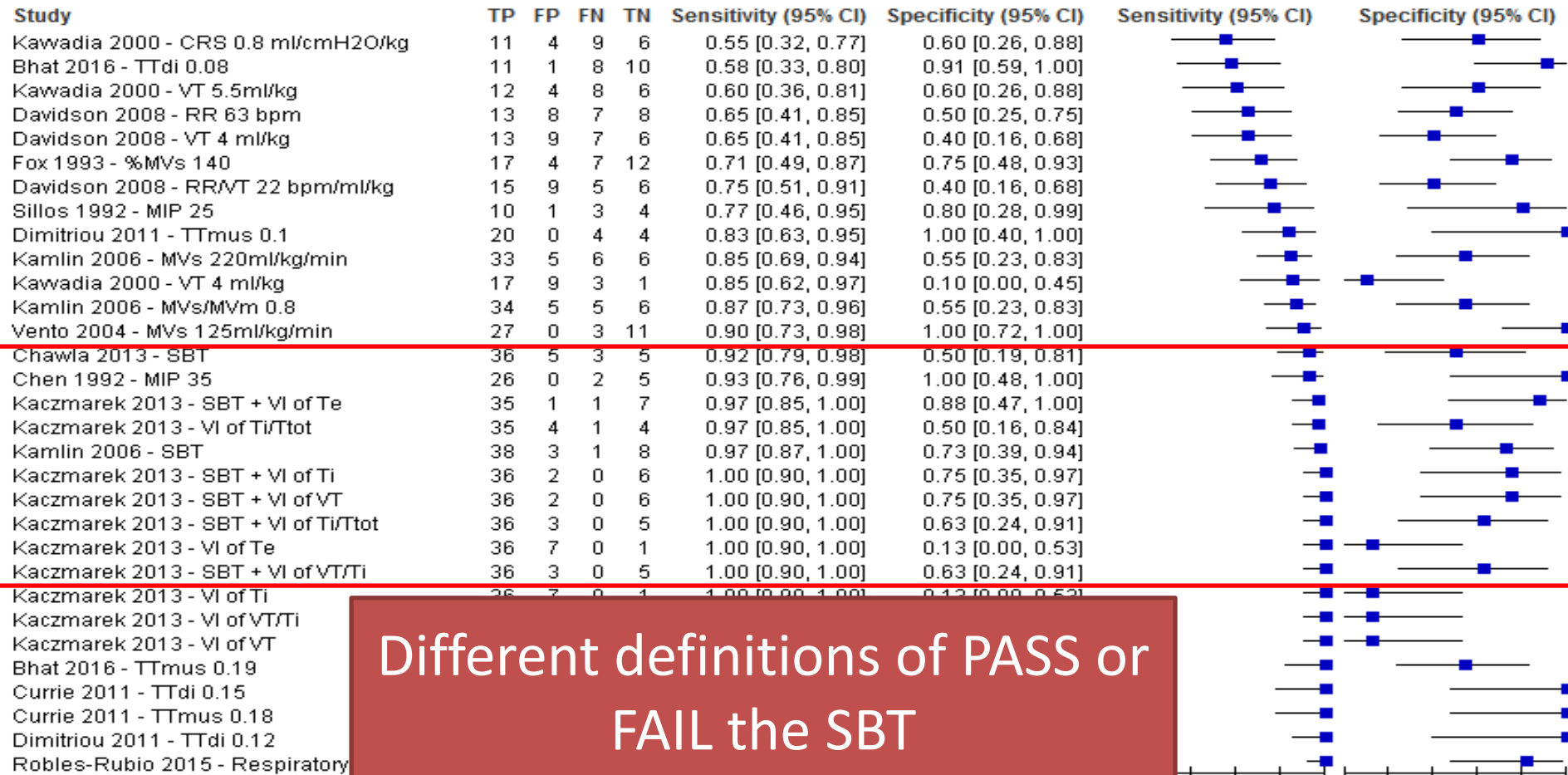
Predictors of Extubation Readiness

- Systematic Review and Meta-analysis of Diagnostic Test Accuracy
- Objectives:
 - To identify predictors of extubation success
 - To determine their accuracy compared to clinical judgment alone

Predictors of Extubation Readiness

- Tests performed in many ways:
 - Duration: few seconds to 24 hours
 - PEEP: 0 to 6 cm H₂O
 - Variable clinical and physiological measurements
- 31 predictors included for meta-analysis

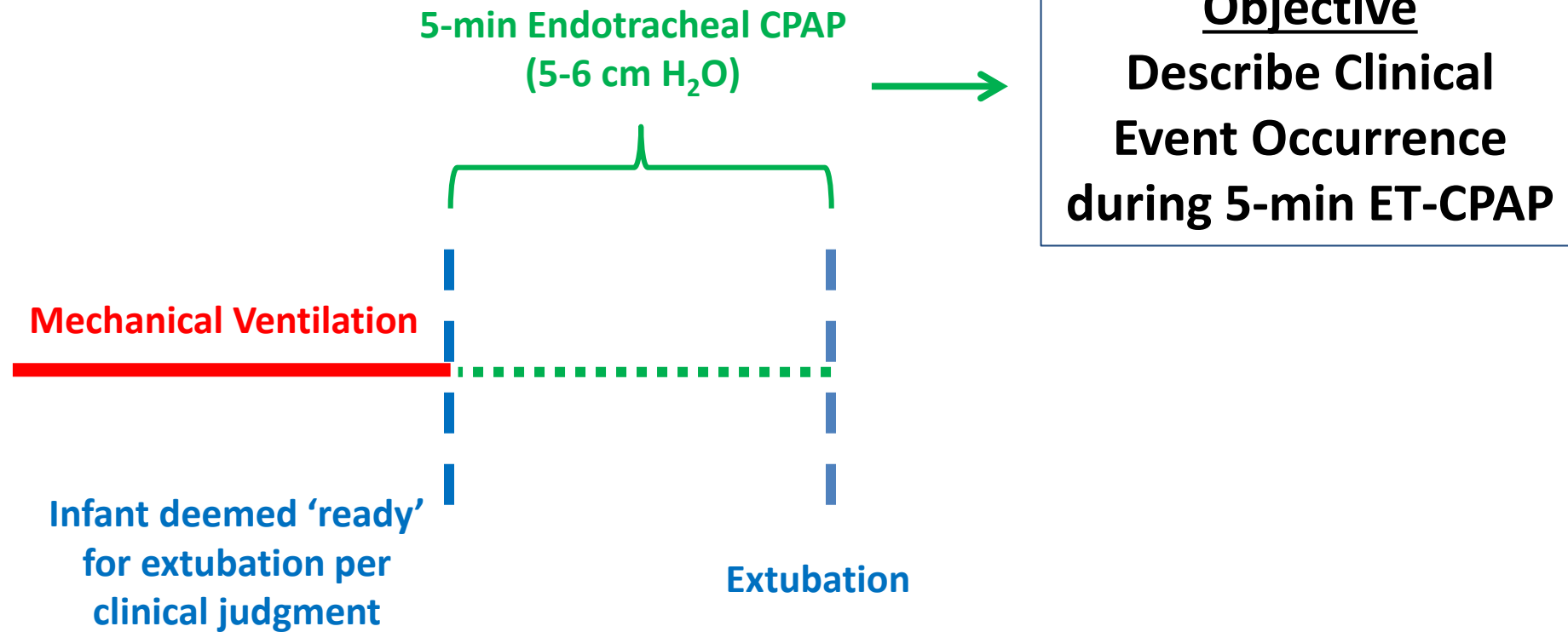
Predictors of Extubation Readiness



Different definitions of PASS or FAIL the SBT

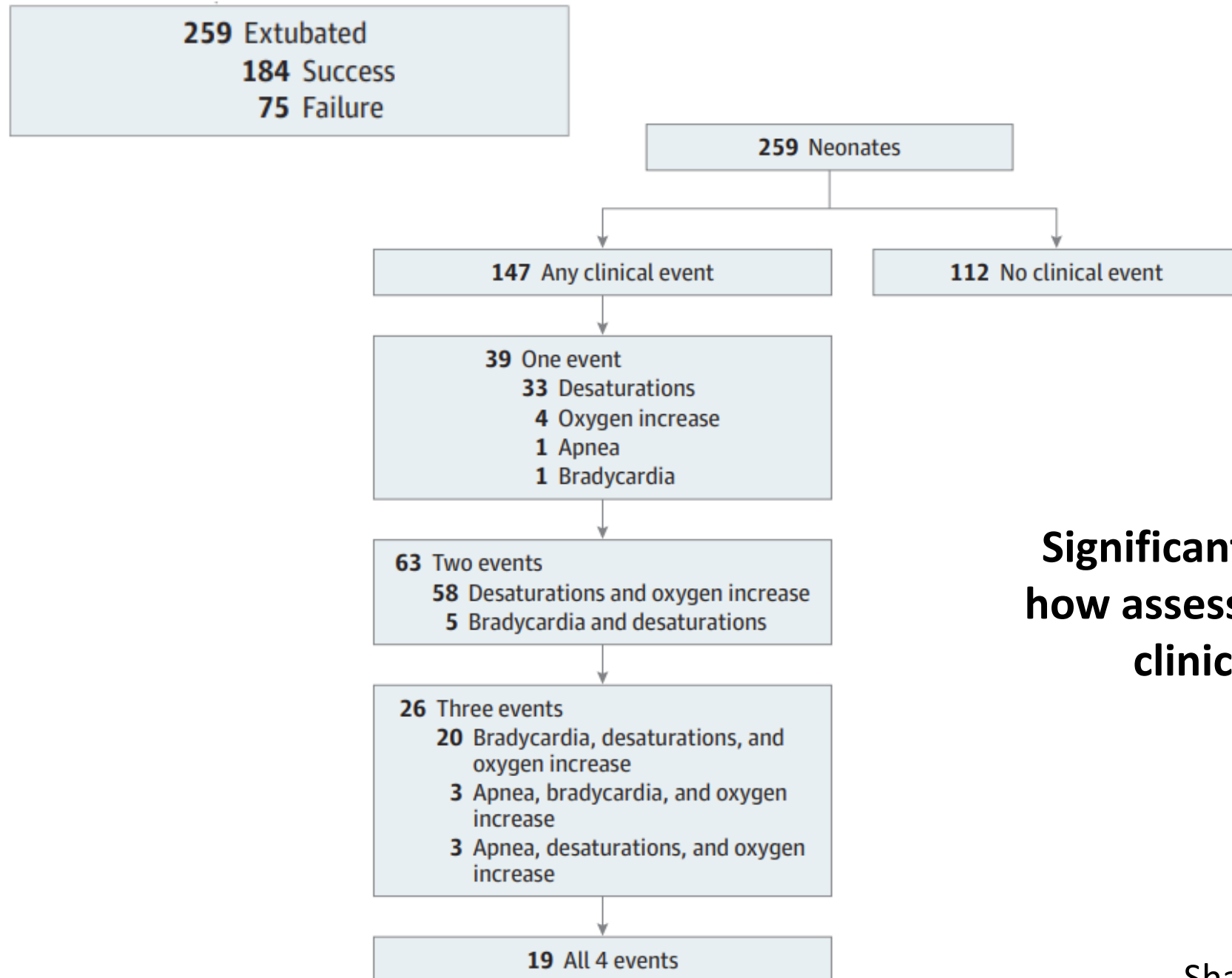
Assessment of Extubation Readiness Using Spontaneous Breathing Trials in Extremely Preterm Neonates

Wissam Shalish, MD; Lara Kanbar, MSc; Lajos Kovacs, MD; Sanjay Chawla, MD; Martin Keszler, MD; Smita Rao; Samantha Latremouille, MSc; Doina Precup, PhD; Karen Brown, MD; Robert E. Kearney, PhD; Guilherme M. Sant'Anna, MD, PhD



4 CLINICAL EVENTS DOCUMENTED DURING ET-CPAP

- A Apnea requiring stimulation;
- B Presence and cumulative duration of Bradycardia
(HR < 100 bpm)
- D Presence and cumulative duration of Desaturation
(SpO₂ < 85%)
- O₂ Need for supplemental Oxygen and maximum
amount provided.



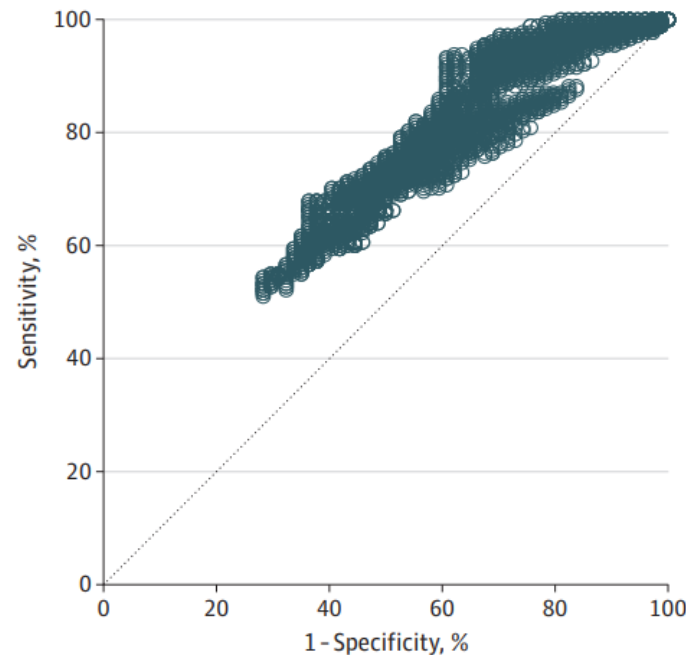
**Significant variations in
how assessors reacted to
clinical events**

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Total = **41,602 SBT pass/fail definitions** were evaluated, showing overall high sensitivity but **very low specificity**

Figure 3. Diagnostic Performance of 41 602 Spontaneous Breathing Trial (SBT) Definitions for Predicting Successful Extubation



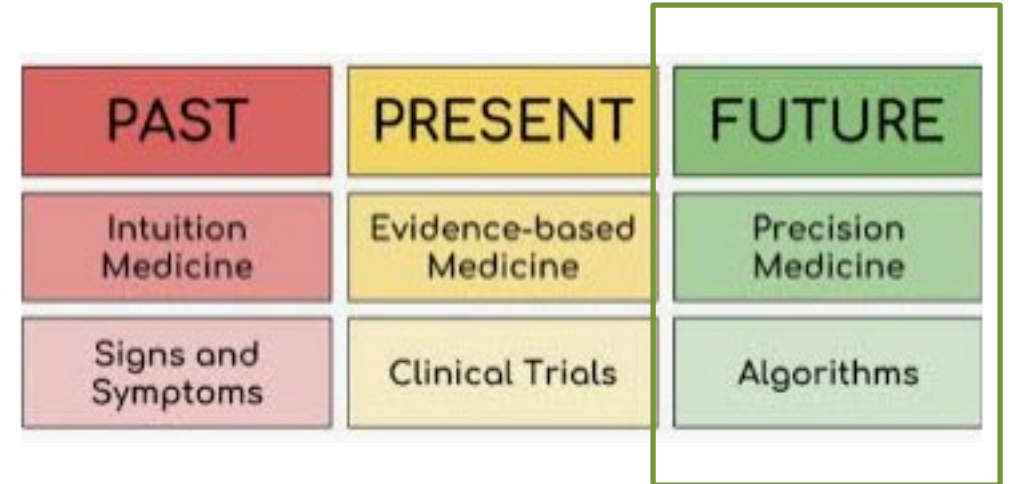
- 5-min ET-CPAP exposed > 50% of infants to clinical instability
- SBTs still leave room for subjective interpretation

Predictors of Extubation Readiness

- Lack of strong evidence to support their use
 - **Low accuracy**
 - No added benefits in the identification of **extubation failures** when compared to clinical judgment alone
- Larger and more standardized studies are needed

Predictors of Extubation Readiness

- No single test can capture the complex nature of why infants fail extubation
- Every infant is different
- We need more complex, individualized tools that can capture more intrinsic biological variables ...



Automated Prediction of EXtubation Readiness in Extremely Preterm Infants: **The APEX Multicenter Study**

APEX investigators:

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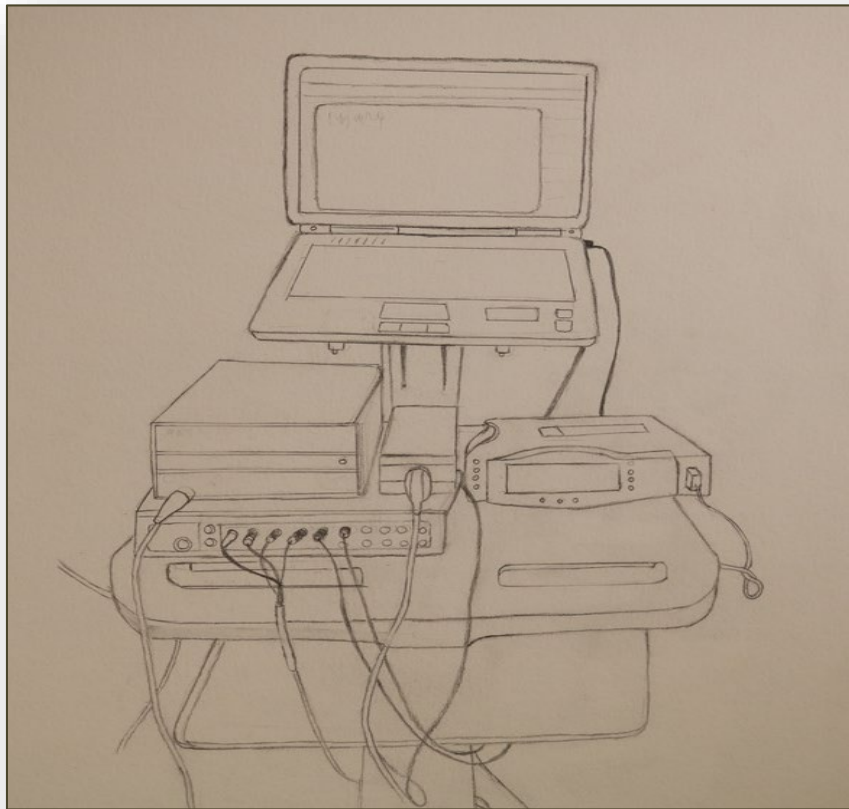
Women & Infants

Study Aim

To develop an **individualized, automated and objective predictor of extubation readiness** using a combination of **clinical variables** and quantitative measures of **cardiorespiratory behavior**

Methods – Data Acquisition

Cardiorespiratory Data



Clinical Data

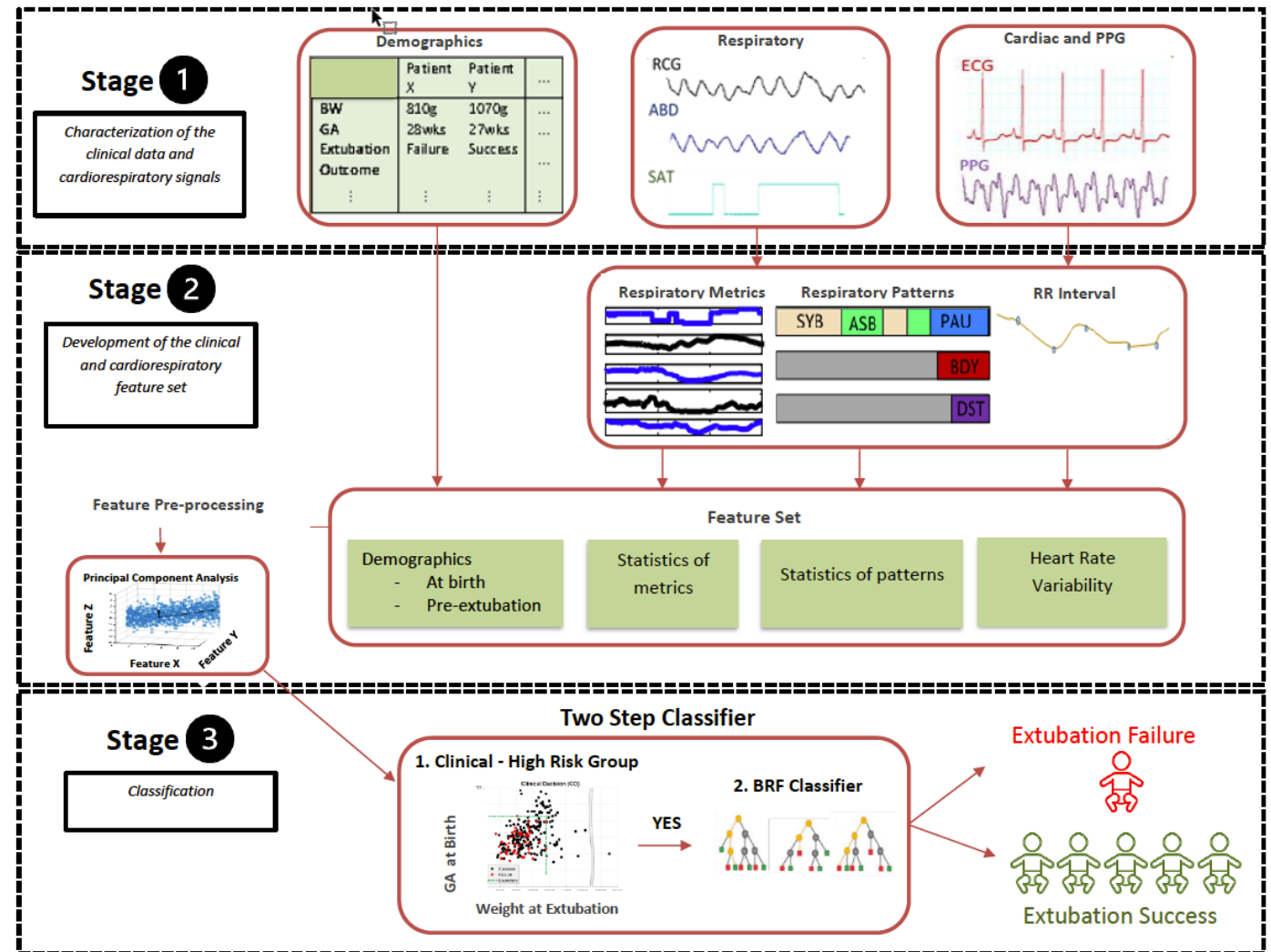
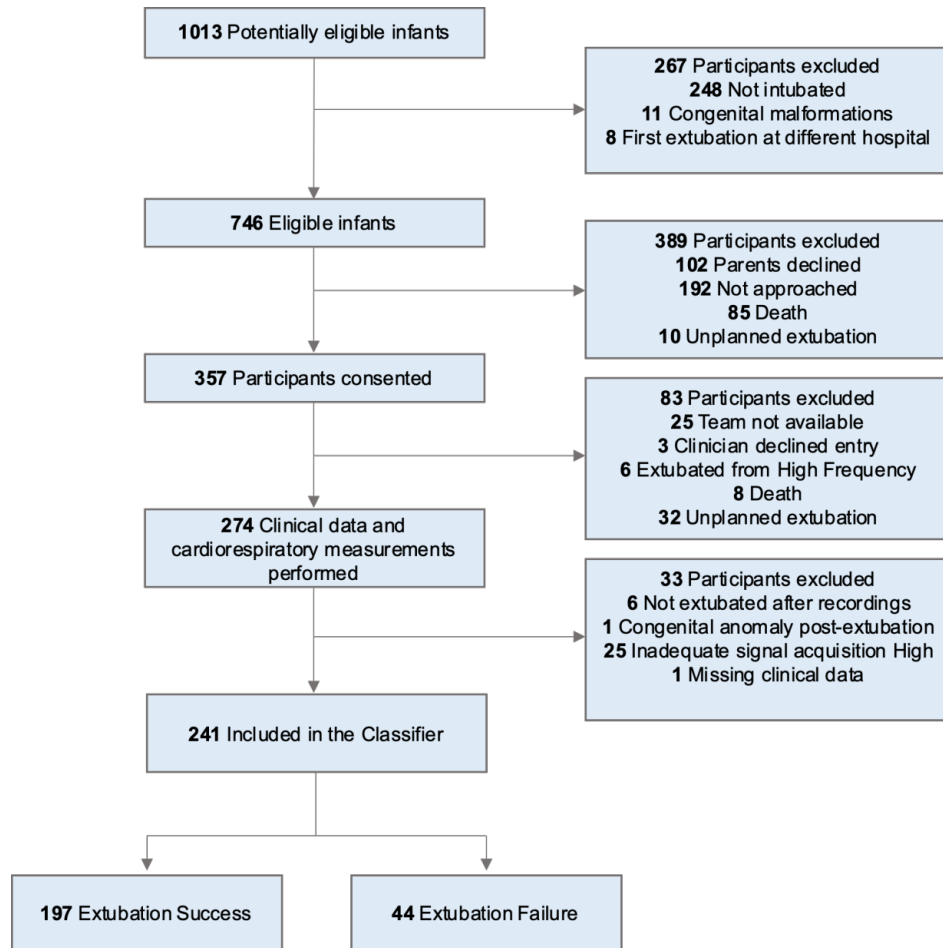
Demographic Data

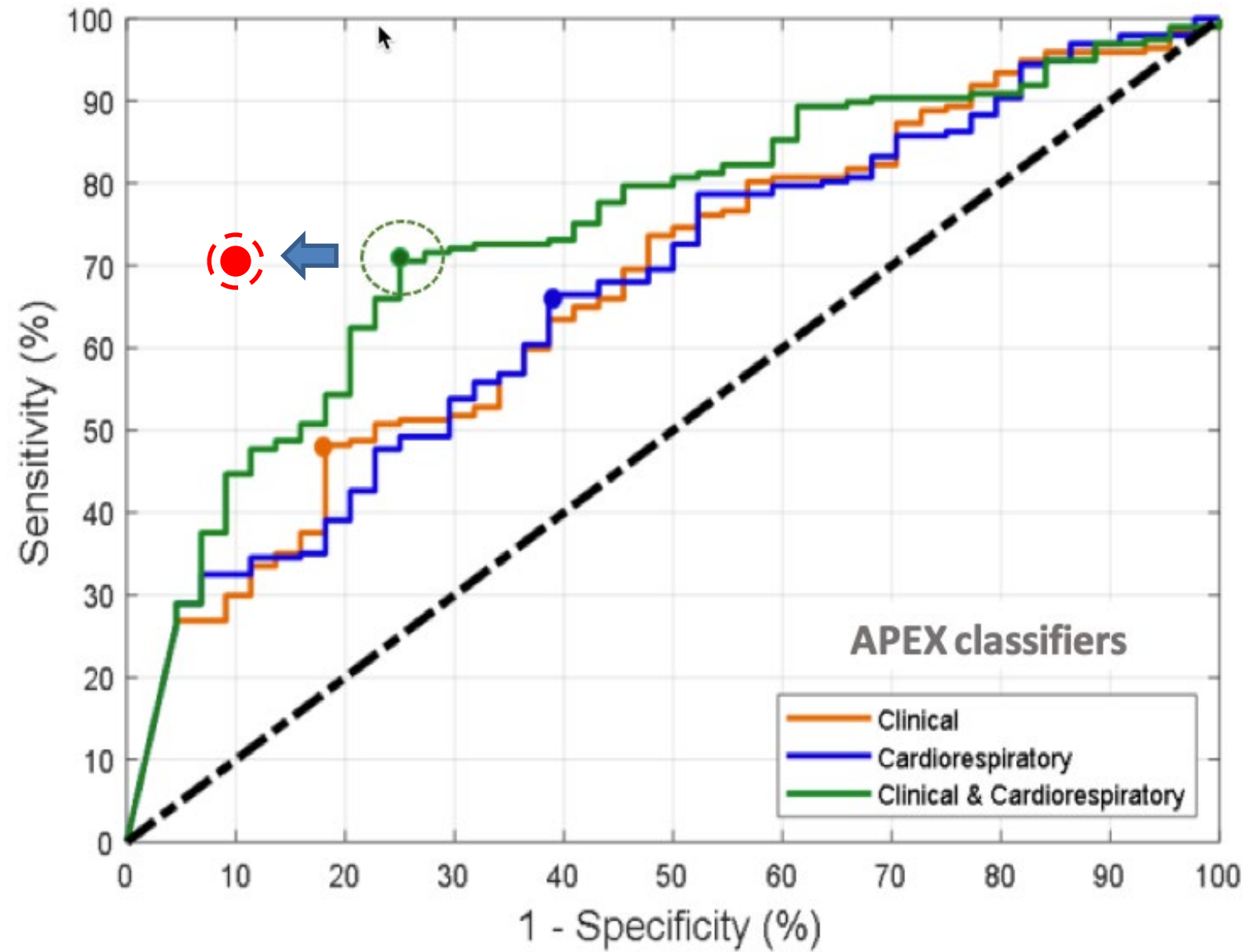
- Gestational age, birth weight
- Delivery information
- Morbidities prior to extubation (PDA, NEC, infection, IVH...)

Immediately Pre-Extubation

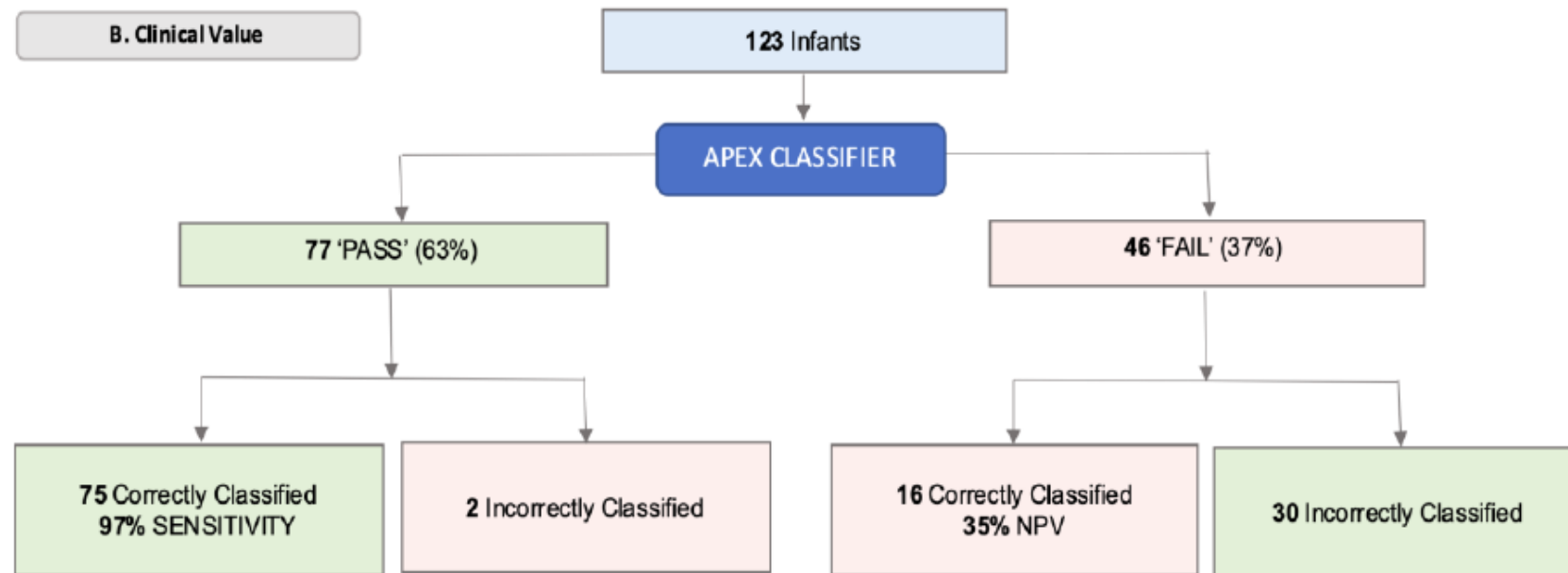
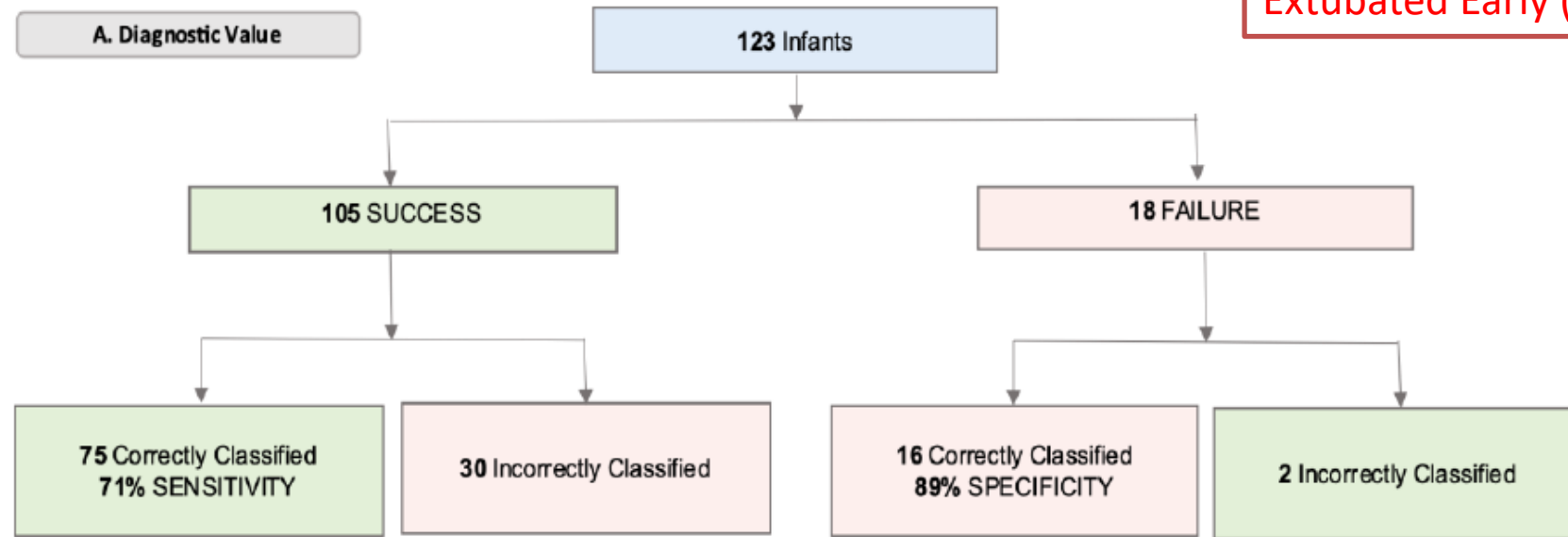
- Postmenstrual age, weight
- Ventilator settings
- Blood gases

APEX Study





Extubated Early (<7 days)



Conclusions

- Many steps are necessary before adoption of this approach
- At an individualized level, we need to weigh the **costs of failure** against the **costs of keeping** the infant mechanically ventilated for a little longer

Costs of failure vs Costs of keeping the infant mechanically ventilated

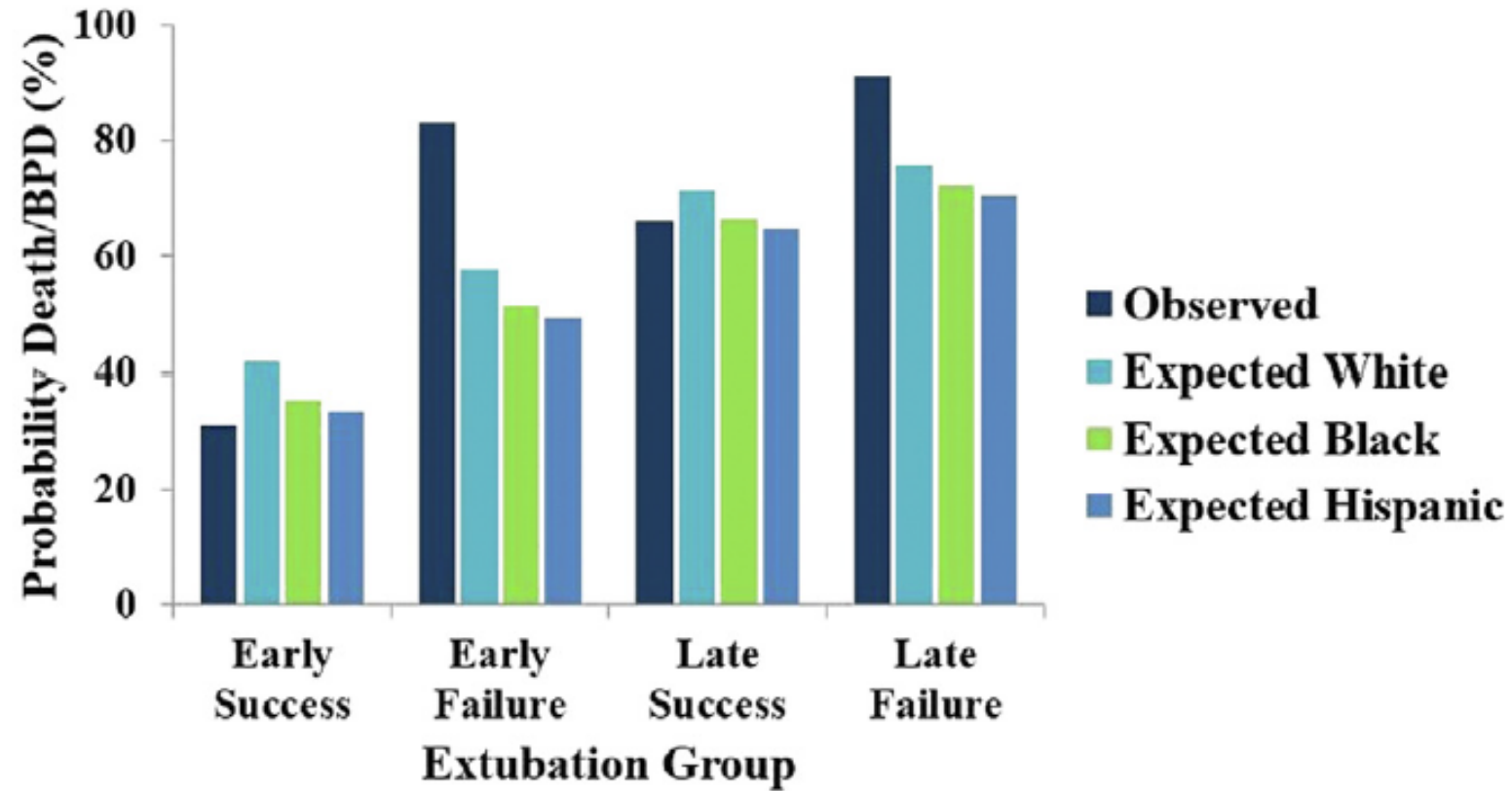
Table 2. Characteristics of the extubation groups

	Early Success (n=93)	Early Failure (n=36)	Late Success (n=59)	Late Failure (n=34)
Demographics				
GA, weeks	27.4 [26.3-28.8]*	26.3 [25.9-27.1]‡	25.4 [24.8-26.6]‡	24.6 [24.1-25.3]
BW, grams	1030 [870-1156]*	855 [760-975] ‡	740 [658-875] ‡	674 [590-730]
Male, %	47 (51)	22 (61)	31 (53)	17 (50)
SGA, %	10 (11)	5 (14)	5 (8)	3 (9)
ANS, %	83 (89)	30 (83)	53 (90)	32 (94)
C-section, %	59 (63)	24 (67)	45 (76)	18 (53)
Chorio, %	38/74 (51)	14/30 (47)	26/51 (51)	17/28 (61)
Apgar 5 min ^a	7 [5-8]	8 [6-8]	6 [5-8]	6 [4-7]
Intubation 1 st hour, %	59 (63)	29 (81)	51 (86)	29 (85)

Costs of failure vs Costs of keeping the infant mechanically ventilated

Table 4. Respiratory morbidities and outcomes at discharge per extubation group

	Early Success (n=93)	Early Failure (n=36)	Late Success (n=59)	Late Failure (n=34)
Respiratory morbidities				
Death/BPD, %	29 (31)*	30 (83)	39 (66)§	31 (91)
BPD, %	26/90 (29)*	26/32 (81)	39 (66)§	30/33 (91)
Death, %	3 (3)	4 (11)‡	0 (0)	1 (3)
MV, days	3 [1-5]*	22 [10-32]	26 [18-31]§	38 [33-42]
Any RS, days	45 [31-58]*	64 [41-82]	71 [60-82]§	85 [76-102]
O ₂ , days	15 [2-43]*	76 [25-98]	47 [23-82]§	93 [70-106]



	Observed-to-Expected Death/BPD Standardized Ratios (95% Confidence Intervals)			
Race/Ethnicity	Early Success	Early Failure	Late Success	Late Failure
White	0.74 (0.47, 1.01)	1.44 (0.93, 1.96)	0.93 (0.64, 1.22)	1.2 (0.78, 1.63)
Black	0.88 (0.56, 1.2)	1.61 (1.04, 2.19)	1 (0.68, 1.31)	1.26 (0.82, 1.71)
Hispanic	0.93 (0.59, 1.26)	1.69 (1.09, 2.3)	1.02 (0.7, 1.34)	1.3 (0.84, 1.75)

Extubation, Black Boxes, and Ontology

Martin J. Tobin, M.D., Franco Laghi, M.D.



Extubation and the Myth of “Minimal Ventilator Settings”

“The challenge of medicine is not about taking care of most patients who do well irrespective of the methods employed. Instead, the goal is to take feasible steps that have a high likelihood of avoiding undesirable outcomes in a small number of instances”

“Actually, it is to take data generated in groups of patients and determine **how to best apply the information in the single patient** being managed at a given moment in time”

Precision Medicine → Neonatal Respiratory Care

- The challenge is to find the **right indication and timing** for invasive and noninvasive support during the respiratory course of **each infant**

Bancalari E, NEJM, 2017

The Evolution of Patient Diagnosis

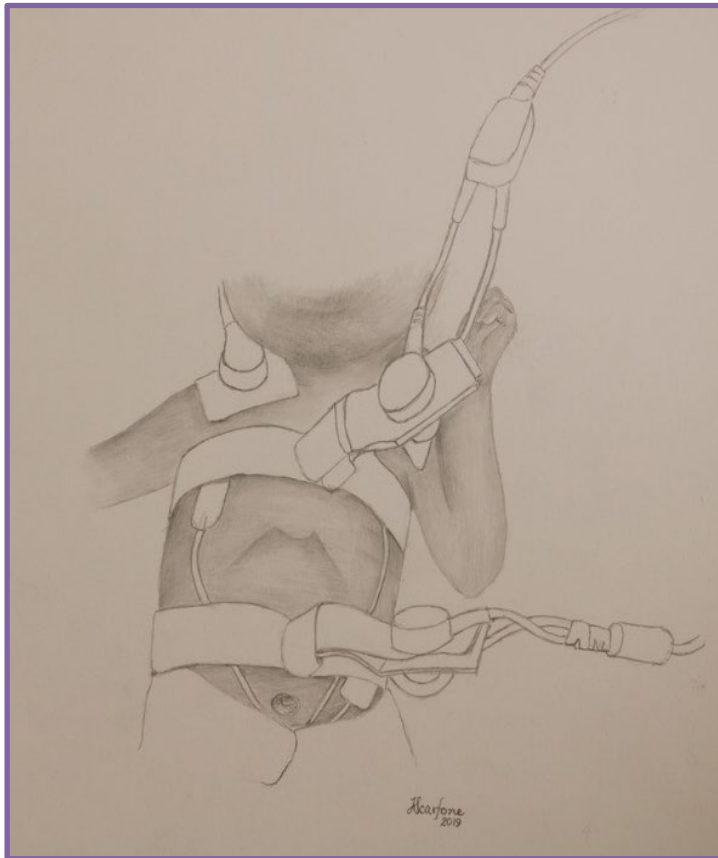
From Art to Science

- Patients are diagnosed based on **history, clinical exam and laboratory reports** that are interpreted considering **clinical experience** and the **medical literature**

ARTIFICIAL INTELLIGENCE now bring insight from
population-level data to individual care

Future Directions

- Instrumentation for data acquisition more user-friendly - wireless



ARTICLES

<https://doi.org/10.1038/s41591-020-0792-9>

nature
medicine

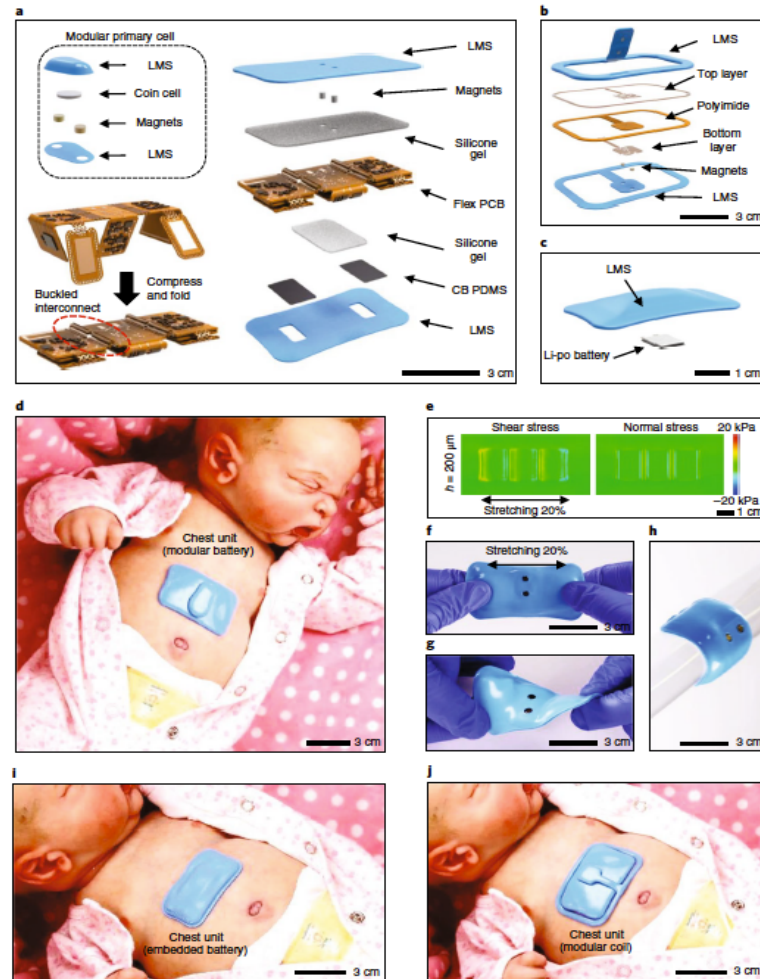
Check for updates

Skin-interfaced biosensors for advanced wireless physiological monitoring in neonatal and pediatric intensive-care units

Wearable wireless multimodal patch sensors, to measure the respiratory frequency

Future Directions

- Instrumentation for data acquisition more user-friendly - wireless



Wearable wireless multimodal patch sensors, to measure the respiratory frequency

Future Directions

- Develop prediction models to prevent failures with the highest risk
- At an individualized level, we need to weigh the **costs of failure** against the **costs of keeping** the infant mechanically ventilated for a little longer

Extubation Failure - Recommendation

- In the meantime, neonatal clinicians still must decide what **clinical actions should be taken at different levels of risk**, understanding the possible outcomes of any decision
- In the end, any decision or predictor will require that we **accept and manage the certainty of uncertainty**

Take Home Messages

- Currently, neonatologists are invasively ventilating smaller and more immature infants
- The decision concerning best time for extubation is difficult since both **prolonged MV** and **multiple reintubations** are undesirable outcomes
- Accurate bedside **predictors of extubation readiness** in extremely preterm infants are needed

Take Home Messages

- The extubation equation is quite complex!
- Infants are reintubated at highly variable time frames and for diverse etiologies
- **BOTH Early AND Successful** extubations are associated with the most favorable outcomes

Decision to extubate extremely preterm infants: art, science or gamble?

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- Shalish W, et al. Arch Dis Child Fetal Neonatal Ed 2021;0:F1–F8.

Box 1 Causes of reintubation in extremely preterm infants

Decreased respiratory drive/Central apnoeas

- ▶ Immature respiratory control centres
- ▶ Infection/Infection/Necrotising enterocolitis
- ▶ Decreased O₂ delivery (hypoxia, shock, anaemia)
- ▶ Intraventricular haemorrhage (during the acute process)
- ▶ Thermal instability
- ▶ Metabolic derangements (eg, hypoglycaemia)

Upper airway obstruction/Obstructive apnoeas

- ▶ Airway oedema (especially postextubation)
- ▶ Airway inflammation (eg, from gastro-oesophageal reflux)
- ▶ Airway secretions/Mucus plugs
- ▶ Vocal cord injuries
- ▶ Subglottic stenosis

Pulmonary causes

- ▶ Immature lung parenchyma
- ▶ Atelectasis/Lung collapse
- ▶ Low functional residual capacity (eg, from abdominal distension)
- ▶ Pulmonary overcirculation/Haemorrhage
- ▶ Lung inflammation
- ▶ Surfactant deficiency or dysfunction
- ▶ New-onset air leak syndrome (eg, pneumothorax)
- ▶ Diaphragmatic weakness/Fatigue

Suboptimal provision of non-invasive respiratory support

- ▶ Inadequate nasal prongs or mask size
- ▶ Excessive interface leak
- ▶ Inadequate clearance of airway secretions
- ▶ Suboptimal positioning (eg, excessive neck flexion or extension)

Box 2 Recommendations for standardised reporting of extubation outcomes

1. Definition of extubation failure:
 - a. Define based on reintubation rather than fulfilment of clinical criteria.
 - b. Ideally, provide (or mandate) participating units with reintubation guidelines.
 - c. If possible, track compliance to the proposed guidelines.
2. Reporting of extubation failure rates:
 - a. *Clinical practice and research*: at 48–72 hours and at 7 days postextubation.
 - b. *For randomised controlled trials*: present reintubation rates of control and intervention groups using cumulative distribution curves for the first 7 days postextubation and compare extubation outcomes using time-to-event methodology.
3. Causes for each reintubation:
 - a. Specify if a non-respiratory-related cause was identified (eg, confirmed infection or necrotising enterocolitis).
 - b. Specify the most important reasons for reintubation (eg, apnoeas and bradycardias, increased work of breathing, increased O₂ needs).
4. Report serious adverse events that occur in the 24 hours following extubation:
 - a. Serious adverse events should include haemodynamic instability (chest compressions, inotropic support), severe or prolonged hypoxia, pneumothorax, pulmonary haemorrhage, necrotising enterocolitis, severe intraventricular haemorrhage and death.

Box 3 Recommendations for optimising weaning and assessment of extubation readiness in clinical practice

1. Routine and proactive assessment of extubation potential:
 - a. Discuss as a multidisciplinary team during rounds.
 - b. Infants should not be kept intubated solely based on their age or weight.
2. Strategies to expedite weaning and reduce mechanical ventilation duration:
 - a. Optimise nutrition and fluid management.
 - b. Optimise caffeine maintenance dose in cases of inconsistent respiratory drive.
 - c. Consider postnatal steroids.
 - d. Wean or cease sedation.
 - e. Develop and implement respiratory therapist and/or nursing-driven weaning protocols
3. Parameters to consider extubation (at any time point during the day or night) on conventional or high frequency oscillatory ventilation:
 - a. Mean airway pressure: 6–8 cm H₂O.
 - b. Fraction of inspired oxygen: 0.21–0.30.
 - c. Peak inflation pressure (preset on pressure-controlled ventilation or achieved during volume-controlled ventilation): 12–15 cm H₂O.
 - d. pH prior to extubation: 7.3–7.4.
4. Spontaneous breathing trials or any other extubation readiness trial are not advised.

These recommendations only apply to extremely preterm infants undergoing their first planned extubation attempt during the first 4 weeks of life.

Disclosure



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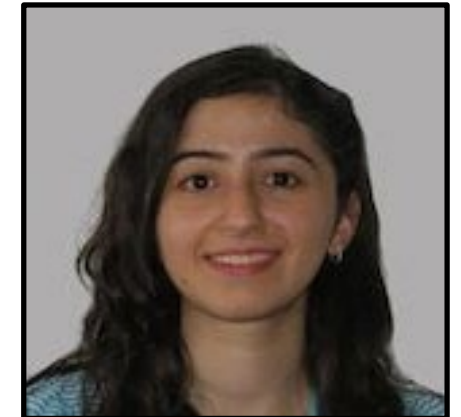


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Obrigado / Gracias



Thanks



Successful
transition

